

# The ImPrint immune signature identifies high risk early breast cancer patients who may benefit from PD1 checkpoint inhibition in I-SPY2

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## Background

- Remarkable increase of novel Immuno-Oncology drugs in many malignancies led to the need for biomarkers to identify who would benefit.
- Various predictive biomarkers have been developed but none have consistently predicted efficacy.
- I-SPY2 qualified several expression-based immune biology related signatures that predict response to PD1/PDL1 immune checkpoint inhibition (ICI)<sup>1,2</sup>.
- We assessed whole transcriptome data of patients with high-risk early-breast cancer (EBC) who received ICI within the neoadjuvant biomarker-rich I-SPY2 trial (NCT01042379), aiming to migrate the I-SPY2 research findings into robust clinical grade signature to predict sensitivity to PD1/PDL1 ICI.

## Data and Methods

- Whole transcriptome microarray data were available from pre-treatment EBC biopsies of 69 HER2- patients of the I-SPY2 Pembrolizumab (4 cycles) (**Discovery set- Table1A**) and 70 HER2- patients of the I-SPY 2 Durvalumab/Olaparib (**Validation set- Table 1B**) arms. All patients had a High-Risk 70-gene MammaPrint (MP)<sup>3,4</sup> profile, were Luminal- or Basal-type based on 80-gene Blueprint molecular subtyping profile<sup>5,6</sup>.
- Pathologic complete response (pCR) was defined as no residual invasive cancer in breast or nodes at the time of surgery.
- The most significant predictive genes for pCR (effect size >0.45) were identified by comparing pCR and RD (Residual Disease) groups in the Pembrolizumab arm by iteratively splitting the **Discovery set** in training and test, balancing for Hormonal Receptor (HR) status and using Leave one out cross validation for performance assessment.
- Pathway analysis was performed with gene set enrichment analysis (GSEA) using Molecular Signatures Database/Hallmark gene sets (adjusted p-value ≤0.05).
- Prevalence analysis was performed on a set of 1463 patients enrolled in the I-SPY2 trial (849 HR+HER2-; 614 Triple Negative)

Table 1A			
Discovery set	pCR	RD	Total
HR+ HER2-	12	28	40
Triple Negative	19	10	29
Total	31	38	69

Table 1B			
Validation set	pCR	RD	Total
HR+ HER2-	20	29	49
Triple Negative	9	12	21
Total	29	41	70

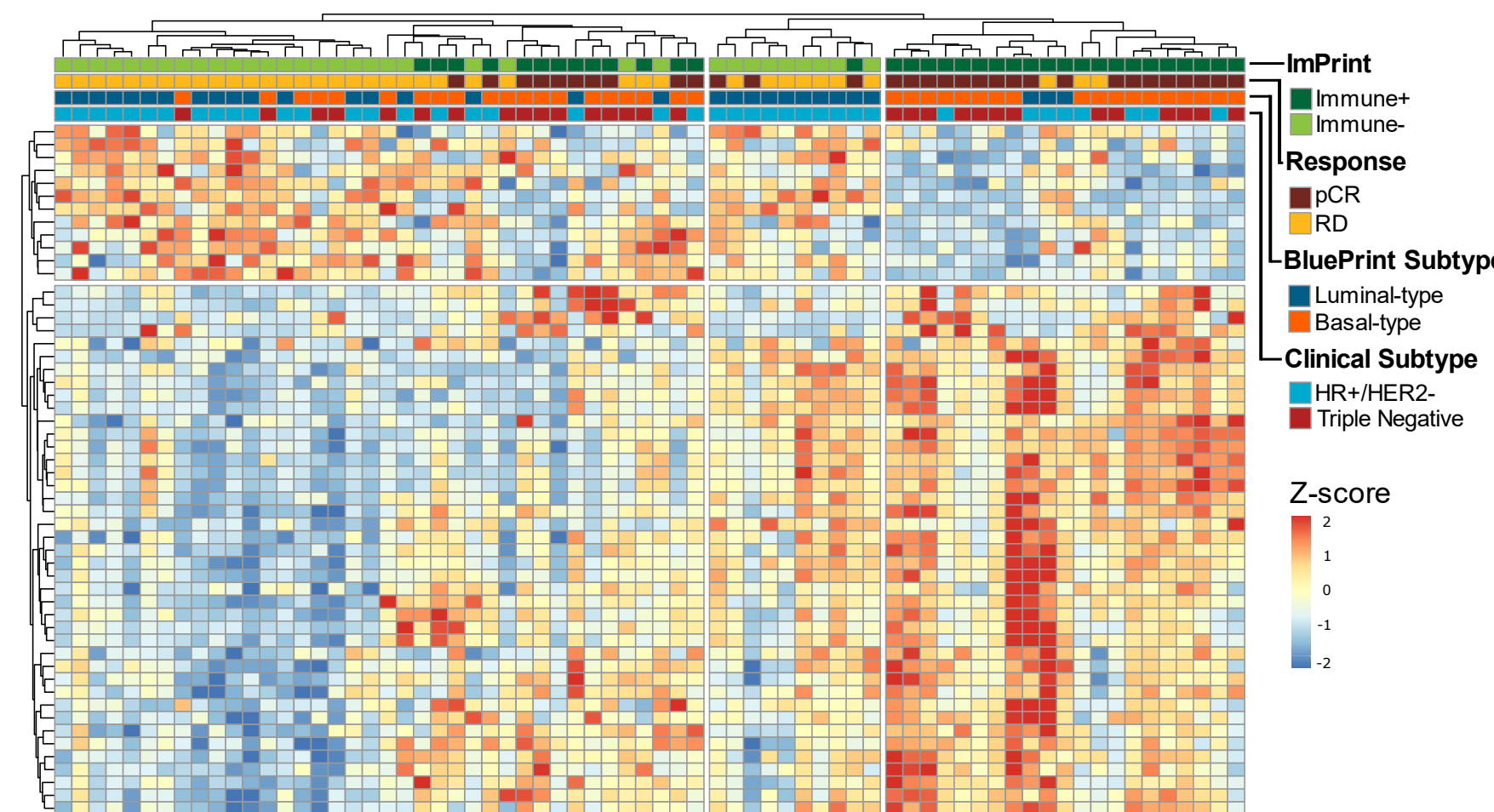


Figure 1. Heatmap of 53 ImPrint genes in Pembrolizumab discovery set (N=69). Distance based on correlation metric and average linkage are applied.

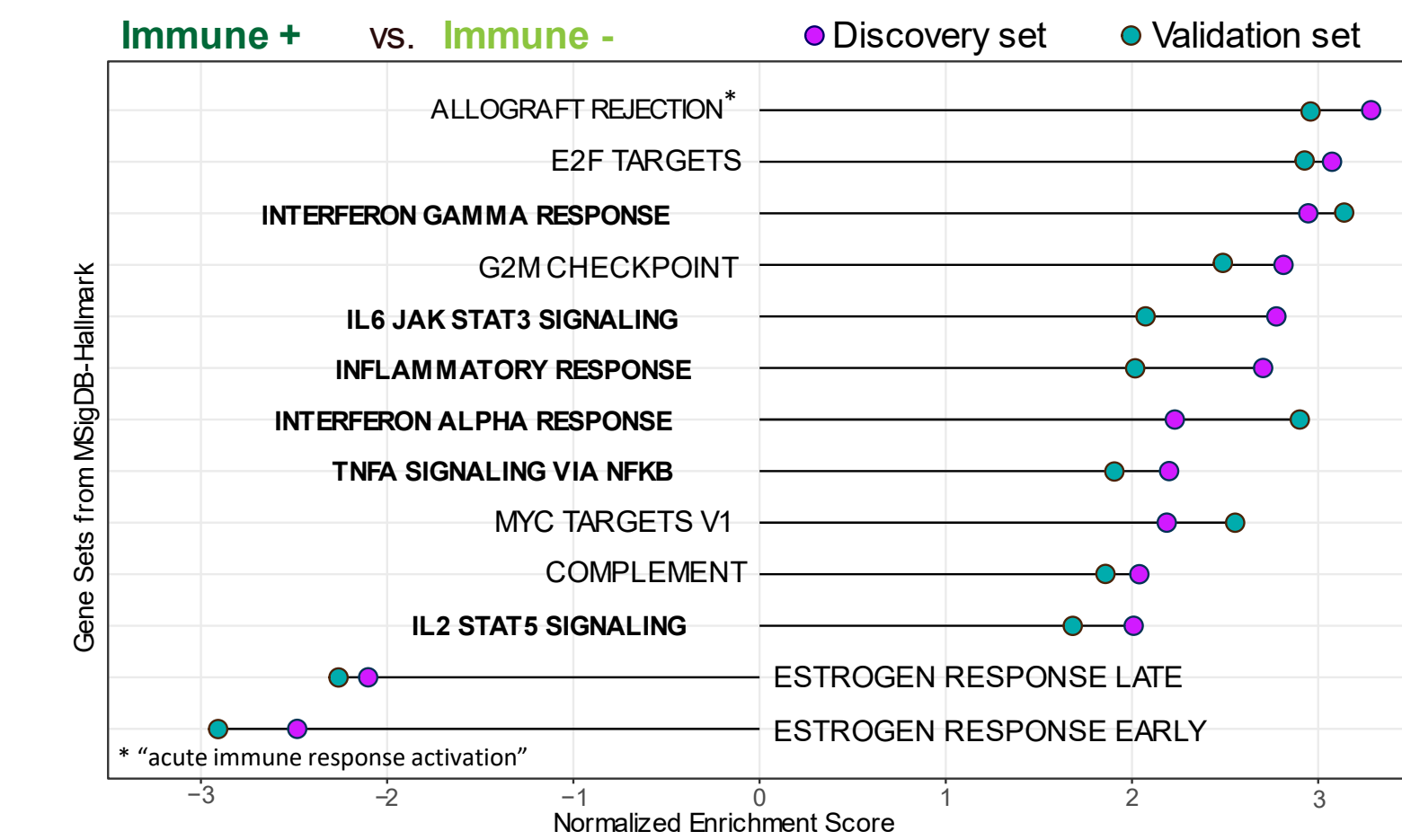


Figure 4. GSEA of ImPrint Immune+ vs. Immune- in the Discovery and Validation sets. In bold are highlighted immune-related pathways.

## Results

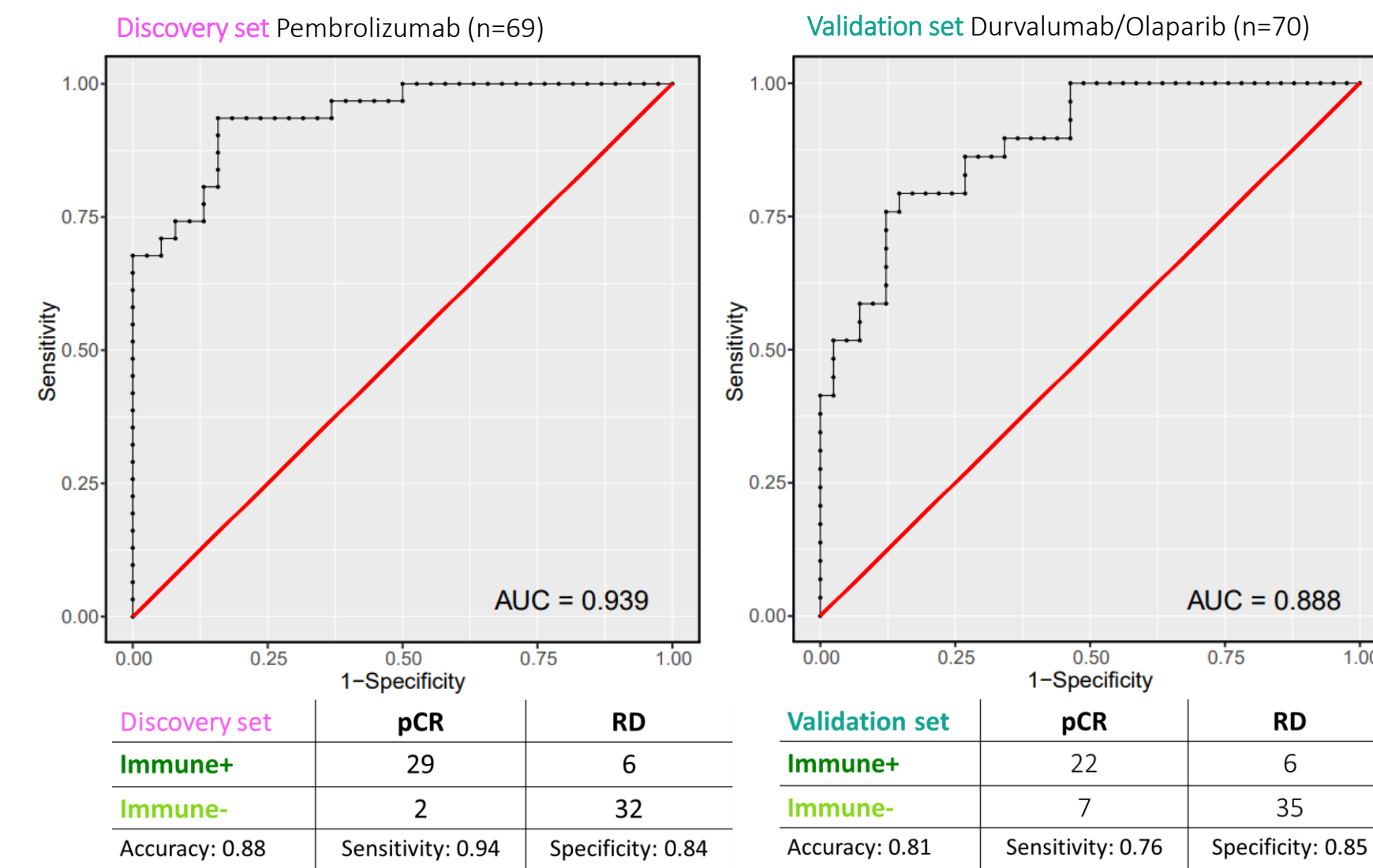


Figure 2. Receiving Operating Curves and pCR distribution for the Pembrolizumab Discovery set (left) and the Durvalumab/Olaparib Validation set (right). AUC=Area Under the Curve

The 53-gene signature ImPrint (Figure 1), predicts pCR to PD1 inhibition with **94% sensitivity and 84% specificity** in all patients (Discovery set, Figure 2A). In Triple Negative, sensitivity and specificity are 100% and 70%, and in HR+HER2- 83% and 89%, respectively. The Positive Predictive Value (PPV) is 77% in the HR+HER2- group. In the Validation set (Figure 2B), ImPrint predicts pCR to PDL1 inhibition with **76% sensitivity and 85% specificity** in all patients, 89% sensitivity and 58% specificity in Triple Negative and 70% sensitivity and 97% specificity in HR+HER2-. Notably, the PPV is 93% for the HR+HER2- group.

ImPrint prevalence analysis on 1463 EBC, shows that majority of Triple Negative EBC is Immune+ (n=438/614, 71%), however a clinically relevant subset of HR+HER2- is also Immune+ (n=213/849, 25%) (Figure 3).

Over 90% of the ImPrint genes have known immune response related functions (including PD-L1 and PD-1, as well as immune predictive genes from I-SPY2<sup>2</sup>). GSEA indicates that ImPrint identifies a subset of immune active tumors (Immune+) with enrichment of different immune pathways, such as Interferon- $\alpha$ - and - $\gamma$  response, known to play key roles in activation of cellular immunity stimulation of antitumor immune-response (Figure 4).

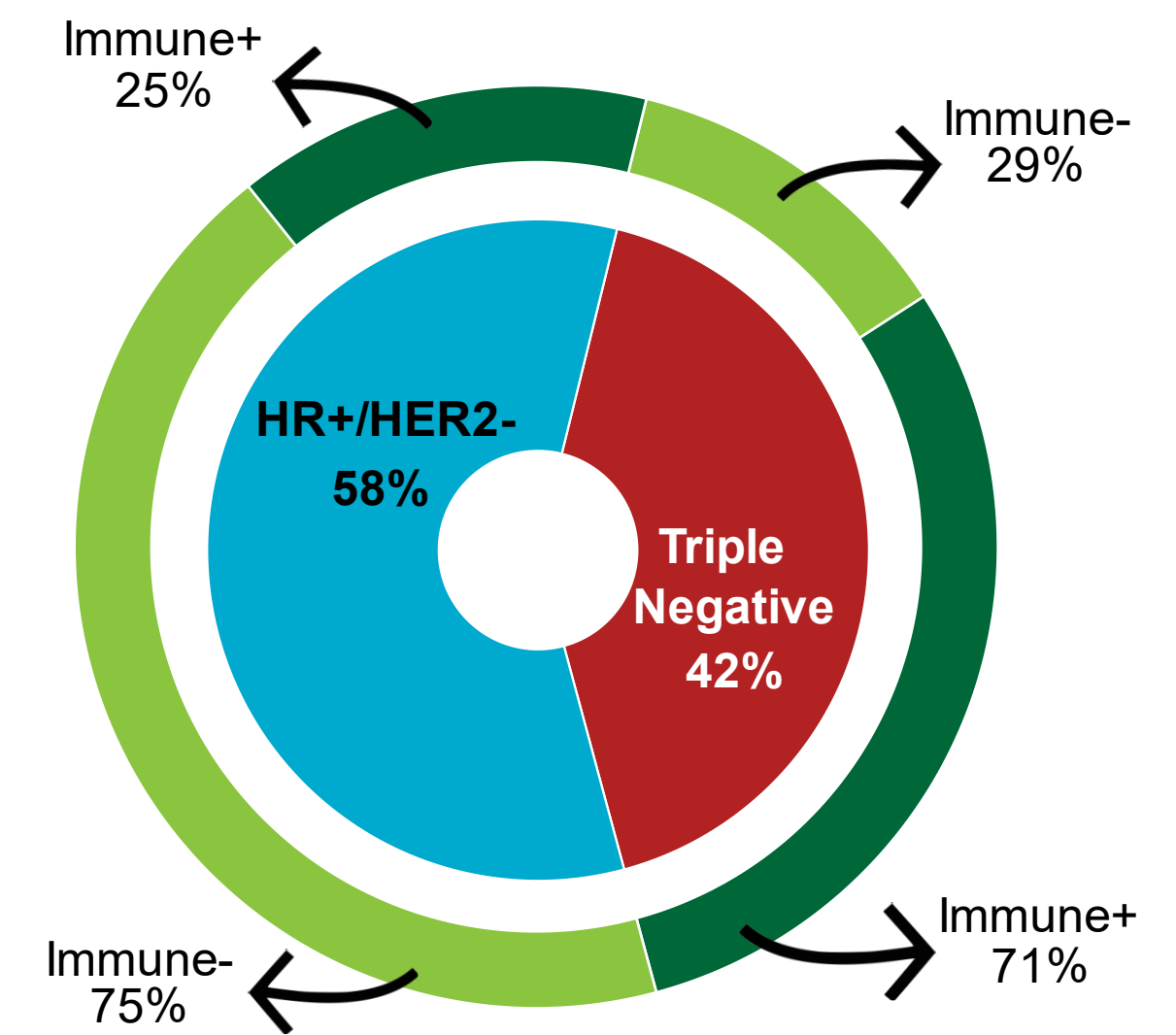


Figure 3. Prevalence analysis of ImPrint (Immune+ vs. Immune-) in the I-SPY2 trial high risk patients (n=1463).

## Conclusions and Future Directions

- ImPrint predicts pCR to PD1-PDL1 ICI with high sensitivity and specificity in both discovery and validation sets.
- Over 90% of the ImPrint genes have known immune response related functions (including genes that codify PD-L1 and PD-1)
- ImPrint identifies tumors with an immune active phenotype denoted by the enrichment of several immune-related pathways
- ImPrint appears very effective in identifying a subset of HR+HER2- patients who could benefit from immunotherapy.
- Prospective validation of ImPrint will be performed within the I-SPY 2.2. trial.



## References

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